



2/14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George R. Hood et al. Examiner: Andrew J. Rudy
Serial No.: 09/610,646 Group Art Unit: 3627
Filed: June 29, 2000 Docket: 8980
Title: BASIC AND INTERMEDIATE NET INTEREST REVENUE
IMPLEMENTATIONS FOR FINANCIAL PROCESSING IN A RELATIONAL
DATABASE MANAGEMENT SYSTEM

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV328818889US

Date of Deposit: January 29, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:

Name: Adriane M. Baird

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(c))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the item of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

Pursuant to 37 C.F.R. §1.97(c), please charge the amount of \$180.00 to Deposit Account No. 50-1673 of NCR Corporation, the assignee of the present application, for having the item of information listed considered after the mailing date of a first Office Action on-the-merits, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a Notice of Allowance under 37 C.F.R. § 1.311.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

RECEIVED
FEB 05 2004
GROUP 3600

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the item listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

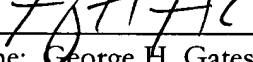
Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: January 29, 2004

GHG/amb

By: 
Name: George H. Gates
Reg. No.: 33,500

Docket Number: 8980

Application Number: 09/610,646

Form 1449*

**INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION**

Applicant: George R. Hood et al.

Filing Date: June 29, 2000

Group Art Unit: 3627

U.S. PATENT DOCUMENTS

MINER
INITIAL

DOCUMENT NO.

DATE _____

NAME _____

CLASS

SUBCLASS

FILING DATE IF
APPROPRIATE

FOREIGN PATENTS

DOCUMENT NO.

DATE _____

COUNTRY

CLASS

SUBCLASS

TRANSLATION

YES

NO

WO 00/62224

19 OCT 2000

PCT

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.